What is a sampling and monitoring program?

• A sampling and monitoring program uses sample analyses to guide decision making, especially with respect to exploration, environmental monitoring and financial risks.

• A sample is that portion of the population that is actually studied and used to characterize the population.
• Sampling and monitoring plans document procedures for obtaining data of sufficient quality to ensure that the resulting samples and analytical data meet the goals and objectives of the program.

• Data will be collected, reviewed, analyzed, and the need for additional data defined.
Sampling and monitoring programs

- Transparent
- Involve the stakeholders
- Document everything
- Site-specific and avoid “cookie-cutter” approaches/techniques for all sites
- Cost effective
- Protect water quality
- Comply with regulations
- Address site specific goals
PHILOSOPHY OF SAMPLING AND MONITORING

• Sampling and monitoring programs should be designed to account for all aspects of the mine-life cycle.
• All types of sampling media should be sampled at some point during the life of the mine, preferably in the initial stages.
• Sampling and monitoring for closure begins with the exploration stage.
OVERVIEW OF QUALITY CONTROL PROTOCOLS

PERSONNEL—training, experience, hierarchy

PROCEDURES—detailed sequential listing of procedures

TRACEABILITY—detailed listing of sequential responsibility

CORE SPLITTING/SAWING—procedures

SECURITY OF ASSAY SPLITS—specifics, responsible persons

SECURITY OF SAMPLE SPLITS—specifics, responsible persons

SHIPPING SAMPLES—specifics, responsible person

ANALYSIS AND REPORTING—responsibilities

AUDITS—internal, independent
PROCEDURES THAT LEAD TO HIGH QUALITY IN MINERAL EXPLORATION PROJECTS (Rogers 1998)

- Verify qualifications of personnel
- Train field geologists in type of mineralization characterizing the project
- Train field geologists in resource estimation procedures
- Unannounced property visits by management and/or independent auditors
- Verification of drill logging procedures by management or independent auditor
- Random check samples of core splits, bagged samples, transit arrangements, etc.
- Use of accredited assay laboratories
- Internal verification program using duplicate samples, standard reference materials, and blanks
- Assay verification involving an independent laboratory
- Petrographic examination of representative samples of mineralization
- Beneficiation test (lab scale) of representative samples of mineralization
- Comparison of assay results for various sample types.
THE POSSIBILITY OF TAMPERING WITH SAMPLES

Salting: the surreptitious introduction of valuable material into a sample (McKinstry, 1947).

Chain of Custody: systematic procedures for the control of and responsibility for samples from the time they are taken through to eventual storage of reject and pulp material remaining after analyses.

Target Hardening: the strategic strengthening of high risk areas in exploration/exploitation to reduce the risk of tampering (Rogers, 2002).

Analytical Method—secretive methods are suspect.

Bre-X case history
The recent Bre-X scandal is reputedly the most significant mining scam of modern times with losses said to be of the order of Can$6 billion.

In brief, the scam seems to have been perpetrated by a small group of employees who, at an in-transit storage location, reopened bags containing samples and contaminated them with carefully weighed amounts of placer (flour) gold. Difficulties arose in 1996 in reproducing assays, leading to an auditing firm being employed to "verify" the assays.
BRE-X EXAMPLE-1

Situation: a previously explored property (central Kalimantan) with early, poor Au assay results, consistently returned values of several grams Au per tonne for samples submitted by Bre-X personnel. Associated engineering work led to a very large resource being reported by the media. The stated results did not stand up to scrutiny by external auditors and the matter came to a head in 1996 when salting was recognized and the reported resource was deemed non-existent.
**BRE-X EXAMPLE-2**

**Red Flags:** many publications arose following this fiasco, pointing to a number of early signs of the scam—most are summarized below.

• Only a 10-cm length of half core was retained per metre. Industry standard is to retain the entire half core.

• Core was classified as mineralized or “in-fill” and the two types were subjected to different preparation, storage and transit procedures.

• Early metallurgical tests showed more than 90 percent of the gold was recoverable by gravity separation. The report stated “Gold particle shapes were mostly rounded...”
Red Flags: cont’d

A second metallurgical lab reported “the gold particles in the Busang composites were liberated as relatively coarse nuggets and minor flakes with an average size range of 60 to 180 microns. The gold grains are typically compact and often nearly spherical in shape”.

• Sample grades were difficult to reproduce by duplicate analyses.

• A comprehensive report on alteration and mineralization of 103 samples of retained 10-cm half core lengths failed to find any gold.

• With the near-surface mineralization reported, one would have expected surface evidence and the presence of artisanal miners as exist elsewhere in the general area.

• Long time lapse for samples leaving property and arriving at analytical lab
WHY SAMPLE
WHY SAMPLE

- Exploration stage to locate economic mineral deposits, drill targets.
- Development stage to determine reserves.
- Production stage to maintain grade control.
- Environmental monitoring, compliance.
- To predict, model, and remediate ARD and other drainage problems associated with mine sites.
- To determine how well the predictive models work and how effective the remediation methods are.
SAMPLING MEDIA

A variety of sampling media can be tested

- solid
- liquid
- air
- biological
- Other media
COMPONENTS OF A SAMPLING PLAN

- Define questions and objectives
- Develop site conceptual models
- Costs and potential consequences of not sampling
- Identify types of data and information needed
- Define confidence level and quantity of data required to answer questions
- Design the sampling plan
COMPONENTS—continued

- Develop protocols
- Conduct an orientation or pilot study before implementation
- Conduct sampling plan
- Analyze and manage data (interpretation)
- Make decisions (risk management)
- Educate and inform the parties involved
1. DEFINE QUESTIONS AND OBJECTIVES

- Identify sources, transport, and effects of potential contamination of soil and drainage quality.
- Validate predicative models.
- Validate mitigation/remediation/reclamation efforts.
- Preventative and remediation monitoring.
- Establish background or existing conditions.
- Identify impacted areas vs. pristine areas.
- Potential use of water in operations
- Operational compliance monitoring.
- Validate reclamation efforts
- Identify markets and customer specifications
Source-pathway-receptor risk diagram (modified from Smith and Huyck, 1999).
2. DEVELOP SITE CONCEPTUAL MODELS

Review existing data

- Climatic data
- Physical data
- Geology (mineralogy)
- Hydrogeology (Surface-ground water interaction)
- Mining history and impacts of mine workings
- Biology
- Other data available

*We suggest that a watershed or district approach be taken.*
3. COSTS AND POTENTIAL CONSEQUENCES OF NOT SAMPLING

- Avoid being data rich but information poor.
- Public perceptions of risk.
- Perceptions of chemicals associated with the mining industry, such as cyanide.
- Some long-term and widespread environmental problems should be considered relatively high-risk even if the data on which the risk assessment is based are somewhat incomplete and uncertain.
4. IDENTIFY TYPES OF DATA AND INFORMATION NEEDED

- What sampling media (solid, liquid, biological/wetlands, air)?
- What are sources, transport mechanisms, and receptors?
- What other parameters must be monitored?
- What type of sample is to be collected and is it representative of sampling?
- What field measurements are required?
- What is the feasibility of sampling?
5. DEFINE CONFIDENCE LEVEL AND QUANTITY OF DATA REQUIRED TO ANSWER QUESTIONS

- What is the confidence level needed?
- How many samples are required to get the needed results?
- What is the precision required?
6. DESIGN THE SAMPLING PLAN

- QA/QC
- Data format
- Safety issues (OSHA vs. MSHA vs. local, state vs. good neighbor/employer)
- Sample location, number of samples, and frequency of sampling, proper labeling of samples (site specific)
- What constituents or parameters are required for each media
7. DEVELOP PROTOCOLS

- Collection techniques
- Sample collection
- Observational field data
- Modify sampling plan and deviations
- Opportunistic sampling
- Contamination
- Handling/transport
- Preservation and storage (from field to laboratory)
7. DEVELOP PROTOCOLS—continued

- Sample pre-treatment in the laboratory
- Filtration
- Sample preparation
- Sample separation
- Archival/storage
- Analytical procedures and techniques
Define the purpose, questions, and objectives.

Develop site conceptual models.

Evaluate the risks, consequences, and costs of not sampling and monitoring.

Identify the types, quantity, and quality of data and information needed.

Define protocols and SOPs.

Develop the sampling and monitoring plan.

Implement the sampling and monitoring plan (program implementation).

Manage and interpret data (data interpretation).

Decision making.

Conduct pilot study.

Involve the stakeholders.
8. ORIENTATION OR PILOT STUDY

• Clear understanding of target type
• Understanding of surficial environments
• Nature of dispersion from mineralized areas
• Sample types available
• Sample collection procedures
• Sample size requirements
8. ORIENTATION OR PILOT STUDY

- Sample interval, depth, orientation, and density
- Field observations required
- Sample preparation procedures
- Sample fraction for analyses
- Geochemical suite for analyses
- Data format for interpretation
9. CONDUCT SAMPLING PLAN (PROGRAM IMPLEMENTATION)
10. ANALYZE AND MANAGE DATA

- Reporting data
- Presentation of data
- Interpretation
- Data interpretation approaches
  - Statistical
  - Spatial
  - Geochemical
  - Geological
10. ANALYZE AND MANAGE DATA—continued

• Reporting and dissemination
• What becomes of data (storage)
• Common data formats
• Use the data
• Reliability and limitations of findings
• Evaluate the data (statistics)
11. MAKE DECISIONS (RISK MANAGEMENT)
12. Educate and inform the parties involved
DATA VERTIFICATION
“All analytical measurements are wrong: it’s just a question of how large the errors are, and whether they are acceptable” (Thompson, 1989).
DEFINITIONS

- **Precision** -- the degree of agreement among repeated measurements of the same characteristic. Precision is monitored by multiple analyses of many sample duplicates and internal standards.

- **Accuracy** -- measures how close your results are to a *true* or expected value and can be determined by comparing your analysis of a standard or reference sample to its actual value. Analyzing certified standards as unknown samples and comparing with known certified values monitors accuracy.

- **Completeness** -- the comparison between the amount of valid, or usable, data you originally planned to collect, versus how much you collected.

- **Comparability** -- the extent to which data can be compared between sample locations or periods of time within a project, or between projects.
The difference between precision and accuracy

- Poor precision (random bias)
- Precise and accurate
- Precise, but not accurate (systematic bias)
reproducibility, accuracy, and bias in sampling
(modified from Pitard 1993)
**PRECISION**
The degree to which a set of observations or measurements of the same property, usually obtained under similar conditions, conform to themselves; determined from multiple analysis of many sample replicates or standards.

**ACCUACY**
The degree of agreement between an observed value and an accepted reference value; determined by analyzing certified standards as if they were unknown samples and comparing those values with known certified values.

**REPRESENTATIVENESS**
The extent to which measurements actually reflect the sampling unit from which they were taken, as well as the degree to which samples actually represent the target population at the time the samples were collected.

**BIAS**
The systematic or persistent distortion of a measurement process.

**COMPARABILITY**
The degree to which different methods, data sets, and/or decisions agree or can be represented as similar.

**CONFIDENCE INTERVAL**
A range of values that spans from the lower confidence limit to the upper confidence limit and encompasses the population parameter of interest with a specified degree of certainty.

**COMPLETENESS**
The amount of valid data obtained compared to the planned amount, and usually expressed as a percentage.
QUALITY CONTROL/QUALITY ASSURANCE

- QC is referred to a program designed to detect and measure the error associated with a measurement process. QC is the program that ensures that the data are acceptable.

- QA is the program designed to verify the acceptability of the data using the data obtained from the QC program. QA provides the assurance that the data meets certain quality requirements with a specified level of confidence.
QUALITY CONTROL/QUALITY ASSURANCE

• What is the purpose of your project?
• What do you need the analyses for and how accurate should they be?
• Where are the results going to be released or published?
• What is the mineralogy?
• What are appropriate certified standards (may need to develop lab standards)?
• What are the detection limits (both upper and lower)?
  – Analytical errors vary from element to element, for different ranges of concentration, and different methods
• Duplicate or more analyses of standards and unknowns verses duplicate runs of same sample
QUALITY CONTROL/QUALITY ASSURANCE

- Analyze a separate set of standards rather than standards used for calibration
- Send samples and standards to other laboratories
- Establish written lab procedures
- Are blanks and field blanks used and analyzed?
- What are the custody procedures (collection date, preservation method, matrix, analytical procedures)?
- Does the chemical analyses make geological sense? Is it consistent with the mineralogy and type of mineral deposit?
- Sometimes there is more paper work than making sure the data is accurate
- What do you do if there are problems with QA/QC?
TYPES OF ERRORS

- Systematic verses bias (constant, unintentional)
- Random errors (unpredicted but nonsystematic errors, imprecise practices)
- Gross or illegitimate errors (procedural mistakes)
- Deliberate errors
MEASUREMENT ERRORS

• Wrong sample
• Wrong reading
• Transposition or transcription errors
• Wrong calibration
• Peak overlap
• Wrong method
• Contamination
• Losses
• Inattention to details
• Sampling problems
• Instrument instability
• Reagent control
• Variability of blank
• Operator skill
• Sample variability
How much solid sample is needed for complete characterization?

- Archive powder 250 gr
- Clay mineralogy 1 lb
- DI leach 50 gr
- ICP 50 gr
- XRF 100 gr
- XRD few gr
- Particle size analyses 2 lb to a bucket
- Thin section fist size
- UFA centrifuge analyses 500 gr
- Pore water chemistry 5 kilos
- Stable isotopes
- Paste pH, paste conductivity 25 gr
- Geotechnical ???
- TOTAL 15 lbs
# Tailgate Safety Meeting Form

<table>
<thead>
<tr>
<th>Date</th>
<th>12/8/2003</th>
<th>Time</th>
<th>8:50 AM</th>
<th>tailgate_number:</th>
<th>1</th>
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<tbody>
<tr>
<td>Site_location:</td>
<td>Guard shack, core shack</td>
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<td></td>
<td></td>
<td></td>
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<tr>
<td>Task:</td>
<td>logging and photographing core, mineralogy</td>
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<tr>
<td>Safety_topics:</td>
<td>emergency number 555, unplug heaters, turn off lights, lock door</td>
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<td>Protective_clothing:</td>
<td>hard hats, safety glasses</td>
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<tr>
<td>Chemical_hazards:</td>
<td>bleach to disinfect for Hanta virus if needed, building was disinfected</td>
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<td>Physical_hazards:</td>
<td>n/a</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Special_equipment:</td>
<td>n/a</td>
<td></td>
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<tr>
<td>emergency_procedures:</td>
<td>call guard shack</td>
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<tr>
<td>phone:</td>
<td>555</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>weather:</td>
<td>cloudy, cold</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>attendees:</td>
<td>VTM, LFG, GKH, JSR, STM, Adam Sobeck</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>comments:</td>
<td>combination to door lock is 7628, bathrooms in chemical lab at mill site</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
| Meeting conducted by: | BMW  | signature: | }
<table>
<thead>
<tr>
<th>Date:</th>
<th>12/9/2003</th>
<th>field_activity_number:</th>
<th>4</th>
<th>tailgate_number:</th>
<th>2</th>
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<tbody>
<tr>
<td>Subject:</td>
<td>log drill core</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Description:</td>
<td>log and photograph sonic core S-1 and S-2 and sample for pyrite; visitors examined outcrops and rock piles led by BMW</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>sup_intials:</td>
<td>JSR</td>
<td>total_man_hours:</td>
<td>64</td>
<td></td>
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</tr>
<tr>
<td>Personnel:</td>
<td>LFG, GKH, JSR, STM, GHB, A V, VTM</td>
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<td></td>
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<tr>
<td>Visitors:</td>
<td>BMW, Dave Jacobs, Peter Scholle, ARC, VWL, George Robinson, Adam S</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>Weather:</td>
<td>COLD, CLEAR</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Changes:</td>
<td>refinements in drill log, sample form, and SOPs</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Comments:</td>
<td>Tour stopped at core building, two near miss accidents, geologist fainted, geologist slipped and fell; safety notified and incident reports</td>
<td></td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>
## Chain of Custody and Request for Analyses

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<th>Field_id:</th>
<th>MID-GHB-0001</th>
<th>Last_Field_id:</th>
<th>MID-GHB-0060</th>
<th>No_samples:</th>
<th>80</th>
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<tbody>
<tr>
<td>Sample_id:</td>
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<td>Last_Sample_id:</td>
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<td></td>
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<tr>
<td>Date_collected:</td>
<td>12/10/2003</td>
<td>Person collected:</td>
<td>GHB</td>
<td>chain_of_custody_transferred_to</td>
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<tr>
<td>Sample_container:</td>
<td>cloth bags in white plastic bu</td>
<td>Custody_seals:</td>
<td></td>
<td></td>
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<tr>
<td>Quantity:</td>
<td>less than 500 gr</td>
<td>Preservation_methods:</td>
<td>sealed lid in bucket</td>
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<td>Deviation_chain_of_custody:</td>
<td>none</td>
<td>Corrective_action:</td>
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<td>SOP_number:</td>
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<td>Deviation_SOP:</td>
<td>SOP not completely written</td>
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<tr>
<td>Comments:</td>
<td></td>
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</tbody>
</table>

### Sample Analyses Request Subform

- thin_section
- Weathering_cells
- Petrographic_cells
- Alteration
- Mineralogy
- Crushed
- Dilution
- Reflect_spect
- Probe
- Stable_isotopes
- thin_section_comp
- Samples_from_Kim
- Petrographic_cells
- Clay_min
- Carbonates
- Pyrite
- Pyrite_reserve
- Dilution_comp
- Part_size
- Part_size_chem
- Microbes
- XRD
- XRD_comp
- Ar-Ar
- Ar-Ar_comp
- XRF
- XRF_comp
- ICP
- ICP_comp
- Pore_water
- Pore_water_comp

Comments: [Box]
### Field Sample Form

**Field_id:** CAP-MUJ-0001  
**Feature_id:** 29  
**Collected by:** MLJ

**Media:** solid  
**Date_collected:** 7/7/2003  
**Weather_conditions:**

**Elevation:**  
**Depth:** 0  
**Method_of_obtaining_elevation:**

**UTM_easting:** 453402  
**UTM_northing:** 4032833  
**UTM_zone:** 13

**Location_assurance:** HHGPSoff  
**Waypoint:**  
**Point_of_location:** field location

### Sampling

**Method_of_sample_collection:** grab for standard  
**Decontamination:**

**Type_of_sample:** grab  
**Sample_description:** waste dump

**Reason_for_sampling:** internal standard  
**Sample_location:** Capulin  
**Location_description_of_sample:** top of Capulin waste rock pile  
**Location_comments:**

**SOP_number:**  
**Deviation_SOP:** no SOP written at time

### Hand Specimen Description

**Field_description:** heterogeneous mine waste rock grab sample for std  
**Color:** Yellowish gray 5Y 7/2 to mod yel  
**Color_of_Rind:**

**Rind_Thickness:**

**Color_of_Core:**

**Sorting:** poor  
**Grain_size:** < 2 inches  
**Hardness:**

**Exposure:** altered  
**Structure:**

**Method_of_prep:**
What methods to use in mineralogy?
Who can sample?

NATIONAL INSTRUMENT 43-101

Depends on a “Qualified Person” — an experienced person who is registered with an appropriate professional organization and who can demonstrate sufficient experience (min. 5 years) in major aspects of the work being reported. QP can be one or several individuals.

"Sampling theory cannot replace experience and common sense. Used in concert with these qualities, however, it (sampling theory) can yield the most information about the population being sampled with the least cost and effort (Kratochvil and Taylor, 1981)"
SOME GENERAL GUIDELINES TO EVALUATION OF DUPLICATE DATA

1. Numeric duplicate data lend themselves to quantitative analysis by statistics.

2. Use relatively simple, straight-forward methods.

3. Keep an introductory statistics text handy

4. Understand conceptually, any procedure you use.

5. Graphical output is highly informative.

6. Quantitative statistical methods are the true test for identifying, understanding and quantifying random errors and bias.
OUTLIERS

A SMALL PROPORTION OF VALUES THAT ARE DISTINCTLY DIFFERENT FROM THE GREAT MAJORITY OF DATA
Histograms and box plots of uranium analyses for the Albuquerque quadrangle (McLemore, 2010). Lower figure explains the box plot.
Interferences